

GKW LIMITED

Format of Holding of Specified Securities

- 1 Name of the Listed entity : GKW LIMITED
- 2 Scrip Code /Name of Scrip/Class of Security NSE : GKWLIMITED
- 3 Share Holding Pattern filed under Reg 31(1)(b) for Qu. SEPTEMBER 30,2016
- 4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

Particulars

- | | | |
|---|---|----|
| 1 | Whether the Listed Entity has issued any partly paid up shares ? | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants ? | NO |
| 3 | Whether the Listed Entity has any shares against which Depository receipts are issued ? | NO |
| 4 | Whether the Listed Entity has any shares in locked-in ? | NO |
| 5 | Whether any shares held by Promoters are pledged or otherwise encumbered ? | NO |
| 6 | Whether the Listed entity has issued any differential Voting rights? | NO |

CB MANAGEMENT SERVICES (P) LTD.


Registrar

30.09.2016

TABLE I : Summary Statement of holding of Specified Securities

Category	Category of Shareholder	No. of shareholders	No. of fully paid up equity shares held	No. of partly paid up shares held	No. of shares underlying deposits receipts	Total No. of shares held	Shareholding as a % of total no. of shares (as a % of A+B+C2)	No. of voting rights			Total as a % of A+B+C	No. of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held as determined from	
								Class X	Class Y	Total				As a % of Total shares held (b)	No. of shares	As a % of Total shares held (a)	No. of shares		As a % of Total shares held (c)
A	Promoter & Promoter Group	2	4474875	0	0	4474875	75.00	4474875	0	75.00	0	75.00	0	0.00	0	0.00	4474875		
B	Public	26729	1491625	0	0	1491625	25.00	1491625	0	25.00	0	25.00	0	0.00	0	0.00	1491625		
C	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total	26731	5966500	0	0	5966500	100.00	5927238	0	99.34	0	100.00	0	0.00	0	0.00	5834153		

Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009

CB MANAGEMENT SERVICES (P) LTD.



TABLE II : Statement showing Shareholding Pattern of Promoter and Promoter Group

Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity share held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held (VI) = (V) + (VI)	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying securities (including convertible securities) (including warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a % of (A+B+C2))	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
								(IX)					(XII)	(XIII)			(XIV)
								Class X	Class Y	Total							
1 Indian																	
(a) Individuals/ HUF																	
(b) Central/State Governments																	
(c) Financial Institutions/Banks																	
(d) Any Other (specify)																	
(i) Body Corporate																	
Matrix Commercial Private Limited	A.AECM5040M		1 3580375	0	0	3580375	60.01	3580375	0	3580375	60.01	0	0	0	3580375		
Sub-Total (A)(1)			1 3580375	0	0	3580375	60.01	3580375	0	3580375	60.01	0	0	0	3580375		
2 Foreign																	
(a) Individuals (NRI/Foreign)																	
Krishna Kumar Bangur	ADJPB4754E		1 894500	0	0	894500	14.99	894500	0	894500	14.99	0	0	0	894500		
(b) Government																	
(c) Institutions																	
(d) Foreign Portfolio Investor																	
(e) Any other																	
Sub-Total (A)(2)			1 894500	0	0	894500	14.99	894500	0	894500	14.99	0	0	0	894500		
Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)			2 4474875	0	0	4474875	75.00	4474875	0	4474875	75.00	0	0	0	4474875		
Note :																	
1. PAN Would not be displayed on website of stock exchanges																	
2. The term "encumbrance" has the same meaning as assigned under Regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																	

TABLE III - Statement showing Shareholding Pattern of Public Shareholder

Category and Name of the Shareholders	No. of shareholders	No. of equity paid up shares held	No. of equity pending shares held	No. of Shares Depository	Total No. of shares held	Shareholding % (As % of 1957 As a % of (A+B+C))	No. of voting rights		Total % of voting rights	No. of shares underlying outstanding convertible securities (including warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a % of diluted shares (epit))	Number of Locked in their (b)		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
							Class	Total				(a)	(b)	(c)	(d)	
1 Institutions		2915	0	0	2915	3.05	2915	0	0.85	0	2.05	0	0	0	0	340
(a) Mutual Funds																
(b) Venture Capital Funds																
(c) Alternate Investment Funds																
(d) Foreign Venture Capital Investors																
(e) Foreign Portfolio Investors																
(f) India Opportunities Growth Fund Ltd																
(g) Phoenix Strategic	1	185000	0	0	185000	1.94	185000	0	5.50	0	3.00	0	0	0	0	185000
(h) Financial Institutions/Banks	55	117554	0	0	117554	1.23	117554	0	3.59	0	1.89	0	0	0	0	117554
(i) IDBI Bank Ltd	1	107516	0	0	107516	1.13	107516	0	3.27	0	1.80	0	0	0	0	107516
(j) Others	2	34	0	0	34	0.04	34	0	0.10	0	0.05	0	0	0	0	34
(k) Insurance Companies																
(l) Provident / Pension Funds	2	1489	0	0	1489	0.02	1489	0	0.04	0	0.02	0	0	0	0	0
(m) Any Other (specify)																
(n) FI																
Sub-Total (9)(1)	71	301942	0	0	301942	3.16	301942	0	9.16	0	5.06	0	0	0	0	291948
2 Central/State Government																
Sub-Total (9)(2)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0	0
3 Non-Institutions																
(a) Individual shareholders holding nominal share capital upto Rs.2 lakhs	26225	431131	0	0	431131	4.53	431131	0	12.93	0	7.23	0	0	0	0	310654
(b) Individual shareholder holding nominal share capital in excess of Rs.2 lakhs	3	22600	0	0	22600	0.24	22600	0	0.65	0	0.37	0	0	0	0	22600
(c) NRFCs registered with RBI																
(d) Employee Trusts																
(e) Creditors Depositories																
(f) Any other																
(g) BODIES CORPORATE																
(h) Bansa Enterprises Ltd	1	524534	0	0	524534	5.55	524534	0	15.14	0	8.79	0	0	0	0	524534
(i) Phoenix Mills Ltd	1	80192	0	0	80192	0.85	80192	0	2.33	0	1.21	0	0	0	0	80192
(j) Phoenix Mills Ltd	1	1156371	0	0	1156371	12.21	1156371	0	33.41	0	19.28	0	0	0	0	1055810
Sub-Total (9)(3)	26651	1186053	0	0	1186053	12.54	1186053	0	33.97	0	25.00	0	0	0	0	3395278
Total Public Shareholding	26726	1487625	0	0	1487625	15.69	1487625	0	46.13	0	30.06	0	0	0	0	3395278
(B) = (9)(1)+(9)(2)+(9)(3)																
GRAND TOTAL (9)(A)	26726	1487625	0	0	1487625	15.69	1487625	0	46.13	0	30.06	0	0	0	0	3395278
Sub-Total (9)(B)	26726	1487625	0	0	1487625	15.69	1487625	0	46.13	0	30.06	0	0	0	0	3395278

Details of the shareholders willing to convert in Convertible Preference Shareholding (No. and %)

Details of shares which remain unconverted to date along with details such as number of shareholders, outstanding shares held in demat/unlisted account, voting rights which are frozen etc.:

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