

GKW LIMITED

Format of Holding of Specified Securities

- 1 Name of the Listed entity : GKW LIMITED
- 2 Scrip Code /Name of Scri/ Class of Security NSE : GKWLIMITED
- 3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter end 31.12.2019
- 4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

Particulars

- 1 Whether the Listed Entity has issued any partly paid up shares ? NO
- 2 Whether the Listed Entity has issued any Convertible Securities ? NO
- 3 Whether the Listed Entity has any shares against which Depository receipts are issued ? NO
- 4 Whether the Listed Entity has any shares in locked-in ? NO
- 5 Whether any shares held by Promoters are pledged or otherwise encumbered ? NO
- 6 Whether the Listed entity has issued any differential Voting rights? NO
- 7 Whether the Listed Entity has issued any Convertible Warrants ? NO
- 8 Whether the Listed Entity has any significant beneficial owner ? YES

TABLE I : Summary Statement of holding of Specified Securities

31.12.2019

| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) = (iv)+(v)+(vi) | (viii) | (ix) | | | (x) | (xi) = (vii)-(x) | (xii) | | (xiii) | (xiv) | |
|----------|--------------------------------|--------------------|--|----------------------------------|---|-------------------------|--|--|-----------------------|---------|---|---|---------------------------------|---------------------------------|--|---|---------------------------------|
| | | | | | | | | No of voting rights | Total as a % of A+B+C | No (a) | | | As a % of Total shares held (b) | No (a) | | | As a % of Total shares held (b) |
| Category | Category of Shareholder | No of shareholders | No of fully paid up equity shares held | No of partly paid-up shares held | No of shares underlying depository Receipts | Total No of shares held | Shareholding as a % of total no of shares (as a % of A+B+C2) | Number of Voting Rights held in each class of securities | | | No of shares underlying outstanding convertible securities (including warrants) | Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2) | Number of Locked in shares | | Number of shares pledged or otherwise encumbered | Number of equity shares held in dematerialised form | |
| | | | | | | | | Class X | Class Y | Total | | | No (a) | As a % of Total shares held (b) | No (a) | As a % of Total shares held (b) | |
| A | Promoter & Promoter Group | 2 | 4474875 | 0 | 0 | 4474875 | 75.00 | 4474875 | 0 | 4474875 | 0 | 75.00 | 0 | 0.00 | 0 | 0.00 | 4474875 |
| B | Public | 24049 | 1491625 | 0 | 0 | 1491625 | 25.00 | 1491625 | 0 | 1491625 | 0 | 25.00 | 0 | 0.00 | 0 | 0.00 | 1378197 |
| C | Non Promoter -Non Public | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| C1 | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| C2 | Shares held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total | 24051 | 5966500 | 0 | 0 | 5966500 | 100.00 | 5966500 | 0 | 5966500 | 0 | 100.00 | 0 | 0.00 | 0 | 0.00 | 5853072 |

Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009

TABLE II : Statement showing Shareholding Pattern of Promoter and Promoter Group

31.12.2019

| (I) Category and Name of the Shareholders | (II) PAN | (III) No of shareholders | (IV) No of fully paid up equity shares held | (V) No of partly paid-up shares held | (VI) No of shares underlying Depository Receipts | (VII) Total No of shares held (A+B+C+D) | (VIII) Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2) | (IX) Number of Voting Rights held in each class of securities | | (X) No of shares underlying outstanding convertible securities (including warrants) | (XI) Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2) | (XII) Number of Locked in shares | | (XIII) Number of shares pledged or otherwise encumbered | (XIV) Number of equity shares held in dematerialised form |
|---|------------|--------------------------|---|--------------------------------------|--|---|--|---|---------------|---|--|----------------------------------|---------------------------------|---|---|
| | | | | | | | | Class X | Class Y Total | | | No (a) | As a % of Total shares held (b) | | |
| 1 Indian | | | | | | | | | | | | | | | |
| (a) Individuals/ HUF | | | | | | | | | | | | | | | |
| (b) Central/State Governments | | | | | | | | | | | | | | | |
| (c) Financial Institutions/Banks | | | | | | | | | | | | | | | |
| (d) Any Other (Specify) | | | | | | | | | | | | | | | |
| (i) Body Corporate | | 1 | 3580375 | 0 | 0 | 3580375 | 60.01 | 3580375 | 0 | 3580375 | 60.01 | 0 | 0 | 0 | 3580375 |
| Matrix Commercial Private Limited | AABCM5040M | 1 | 3580375 | 0 | 0 | 3580375 | 60.01 | 3580375 | 0 | 3580375 | 60.01 | 0 | 0 | 0 | 3580375 |
| Sub-Total (A)(1) | | 1 | 3580375 | 0 | 0 | 3580375 | 60.01 | 3580375 | 0 | 3580375 | 60.01 | 0 | 0 | 0 | 3580375 |
| 2 Foreign | | | | | | | | | | | | | | | |
| (a) Individuals (NR/Foreign) | | 1 | 894500 | 0 | 0 | 894500 | 14.99 | 894500 | 0 | 894500 | 14.99 | 0 | 0 | 0 | 894500 |
| Krishna Kumar Bangur | ADJPB4754E | 1 | 894500 | 0 | 0 | 894500 | 14.99 | 894500 | 0 | 894500 | 14.99 | 0 | 0 | 0 | 894500 |
| (b) Government | | | | | | | | | | | | | | | |
| (c) Institutions | | | | | | | | | | | | | | | |
| (d) Foreign Portfolio Investor | | | | | | | | | | | | | | | |
| (e) Any other | | | | | | | | | | | | | | | |
| Sub-Total (A)(2) | | 1 | 894500 | 0 | 0 | 894500 | 14.99 | 894500 | 0 | 894500 | 14.99 | 0 | 0 | 0 | 894500 |
| Total Shareholding of Promoter and Promoter Group | | | | | | | | | | | | | | | |
| (A) = (A)(1) + (A)(2) | | 2 | 4474875 | 0 | 0 | 4474875 | 75.00 | 4474875 | 0 | 4474875 | 75.00 | 0 | 0 | 0 | 4474875 |
| Note : | | | | | | | | | | | | | | | |
| 1. PAN Would not be displayed on website of stock exchanges | | | | | | | | | | | | | | | |
| 2. The term "encumbrance" has the same meaning as assigned under Regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 | | | | | | | | | | | | | | | |

TABLE III : Statement showing Shareholding Pattern of Public Shareholder

31.12.2019

| 1. Instructions | (i) Category and Name of the Shareholders | (ii) PAN | (iii) No of shareholders | (iv) No of fully paid up equity shares held | (v) No of partly paid-up shares held | (vi) No of shares underlying Depository Receipts | Total No of shares held (vii) = (iv)+(v)+(vi) | Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2) (viii) | No of voting rights | | Total as a % of total voting rights | (x) No of shares underlying outstanding convertible securities (including warrants) | Total Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital) (xi) | Number of Locked in shares | | Number of shares pledged or otherwise encumbered | | (xv) Number of equity shares held in dematerialised form |
|--|--|-------------|--------------------------|---|--------------------------------------|--|--|---|---------------------|-----------|-------------------------------------|---|--|----------------------------|---------------------------------|--|---------------------------------|--|
| | | | | | | | | | (ix) Class | (x) Total | | | | (a) No | (b) As a % of Total shares held | (a) No | (b) As a % of Total shares held | |
| (h) Mutual Funds | (i) SBI Long Term Advantage Fund -Series IV | AABTS5407Q | 11 | 102915 | 0 | 0 | 102915 | 1.72 | 102915 | 0 | 102915 | 1.72 | 0 | 0 | 0 | 0 | 0 | 100340 |
| | (ii) Venus Capital Funds | | 1 | 100000 | 0 | 0 | 100000 | 1.68 | 100000 | 0 | 100000 | 1.68 | 0 | 0 | 0 | 0 | 0 | 100000 |
| (b) Alternate Investment funds | (i) Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | |
| (c) Foreign Portfolio Investors | (i) India Opportunities Growth Fund Ltd - Pinewood Strategy | AACCS037I | 1 | 185000 | 0 | 0 | 185000 | 3.10 | 185000 | 0 | 185000 | 3.10 | 0 | 0 | 0 | 0 | 0 | 185000 |
| | (ii) Financial Institutions/Banks | | 43 | 4984 | 0 | 0 | 4984 | 0.09 | 4984 | 0 | 4984 | 0.09 | 0 | 0 | 0 | 0 | 0 | 565 |
| (d) Others | (i) Insurance Companies | | 2 | 34 | 0 | 0 | 34 | 0.00 | 34 | 0 | 34 | 0.00 | 0 | 0 | 0 | 0 | 0 | 34 |
| | (ii) Provident /Pension Funds | | | | | | | | | | | | | | | | | |
| | (iii) Any Other (Specify) | | | | | | | | | | | | | | | | | |
| | (iv) FI | ZZZZ99992* | 2 | 1489 | 0 | 0 | 1489 | 0.02 | 1489 | 0 | 1489 | 0.02 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Sub-Total (B)(1) | | 59 | 284422 | 0 | 0 | 284422 | 4.98 | 284422 | 4.98 | 284422 | 4.98 | 0 | 0 | 0 | 0 | 0 | 285398 |
| 2. Central/State Government/ Sub-Total (B)(2) | | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. Non-Institutions | (i) Individual shareholders holding nominal share capital upto Rs.2 lakhs | | 23609 | 398460 | 0 | 0 | 398460 | 6.68 | 398460 | 0 | 398460 | 6.68 | 0 | 0 | 0 | 0 | 0 | 296898 |
| | (ii) Individual shareholders holding nominal share capital in excess of Rs.2 lakhs | | 1 | 22000 | 0 | 0 | 22000 | 0.37 | 22000 | 0 | 22000 | 0.37 | 0 | 0 | 0 | 0 | 0 | 22000 |
| | (b) NBFC/registered with RBI | | | | | | | | | | | | | | | | | |
| | (c) Employee Trusts | | | | | | | | | | | | | | | | | |
| | (d) Overseas Depositories/ | | | | | | | | | | | | | | | | | |
| (*) Any other | (i) BODIES CORPORATE | | 227 | 733971 | 0 | 0 | 733971 | 12.30 | 733971 | 0 | 733971 | 12.30 | 0 | 0 | 0 | 0 | 0 | 733870 |
| | (a) Bebona Finance Ltd | AAACB326ZC | 1 | 524534 | 0 | 0 | 524534 | 8.79 | 524534 | 0 | 524534 | 8.79 | 0 | 0 | 0 | 0 | 0 | 524534 |
| | (b) Phoenix Mills Limited | AAACF3235I | 1 | 60192 | 0 | 0 | 60192 | 1.01 | 60192 | 0 | 60192 | 1.01 | 0 | 0 | 0 | 0 | 0 | 60192 |
| | (ii) NRI | YVWY99999Y | 139 | 3769 | 0 | 0 | 3769 | 0.06 | 3769 | 0 | 3769 | 0.06 | 0 | 0 | 0 | 0 | 0 | 2698 |
| | (iii) Trust | TTTT99999T | 9 | 103 | 0 | 0 | 103 | 0.00 | 103 | 0 | 103 | 0.00 | 0 | 0 | 0 | 0 | 0 | 94 |
| | (iv) Charitable Member | | 4 | 61 | 0 | 0 | 61 | 0.00 | 61 | 0 | 61 | 0.00 | 0 | 0 | 0 | 0 | 0 | 61 |
| | (v) GVW Ltd/Unlisted Suspense A/c | XXXX99999X* | 1 | 38839 | 0 | 0 | 38839 | 0.65 | 38839 | 0 | 38839 | 0.65 | 0 | 0 | 0 | 0 | 0 | 38839 |
| | Sub-Total (B)(3) | | 23990 | 1187203 | 0 | 0 | 1187203 | 20.07 | 1187203 | 0 | 1187203 | 20.07 | 0 | 0 | 0 | 0 | 0 | 1095752 |
| Total Public Shareholding | (B) = (B)(1)+(B)(2)+(B)(3) | | 24049 | 1491625 | 0 | 0 | 1491625 | 25.00 | 1491625 | 0 | 1491625 | 25.00 | 0 | 0 | 0 | 0 | 0 | 1378297 |
| GRAND TOTAL (A+B) | | | 24051 | 5965500 | 0 | 0 | 5965500 | 100.00 | 5965500 | 0 | 5965500 | 100.00 | 0 | 0 | 0 | 0 | 0 | 5851072 |

NOTE - PAN ARE NOT GIVEN BY THE SHAREHOLDERS

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