

GKW LIMITED

Format of Holding of Specified Securities

- 1 Name of the Listed entity : GKW LIMITED
- 2 Scrip Code /Name of Scri/ Class of Security NSE : GKWLIMITED
- 3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter ended 30.09.2020
- 4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

Particulars

- | | | |
|---|---|------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares ? | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | NO |
| 3 | Whether the Listed Entity has any shares against which Depository receipts are issued ? | NO |
| 4 | Whether the Listed Entity has any shares in locked-in ? | NO |
| 5 | Whether any shares held by Promoters are pledged or otherwise encumbered ? | NO |
| 6 | Whether the Listed entity has issued any differential Voting rights? | NO |
| 7 | Whether the Listed Entity has issued any Convertible Warrants ? | NO |
| 8 | Whether the Listed Entity has any significant beneficial owner ? | YES |

TABLE I : Summary Statement of holding of Specified Securities

Category	Category of Shareholder	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying depository Receipts	Total No of shares held	Shareholding as a % of total no of shares (as a % of A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares		Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form		
								(i)	(ii)	(iii)			(iv)	(v)			(vi)	(vii) = (iv)+(v)+(vi)
								No of voting rights			Total as a % of A+B+C			No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)	
							Class X	Class Y	Total									
A	Promoter & Promoter Group	2	4474875	0	0	4474875	75.00	4474875	0	4474875	75.00	0	75.00	0	0.00	0	0.00	4474875
B	Public	23844	1491625	0	0	1491625	25.00	1491625	0	1491625	25.00	0	25.00	0	0.00	0	0.00	1378769
C	Non Promoter -Non Public	0	0	0	0	0	0.00		0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	23846	5966500	0	0	5966500	100.00	5966500	0	5966500	100.00	0	100.00	0	0.00	0	0.00	5853644
Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009																		

Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of Shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	No of voting rights			No of shares underlying convertible securities (including warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	Number of Locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of voting rights		Total as a % of total voting rights			No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)			
								ClassX	Class Total										
1 Institutions																			
(a) Mutual Funds		10	101317	0	0	101317	1.70	101317	0	101317	1.70	0	1.70	0	0.00	0	0.00	0	100340
i) SBI Long Term Advantage Fund -Series IV	AABTS6407Q	1	100000			100000	1.68	100000		100000	1.68		1.68		0.00		0.00		100000
(b) Venture Capital Funds																			
(c) Alternate Investment funds																			
(d) Foreign Venture Capital Investors																			
(e) Foreign Portfolio Investors		1	185000	0	0	185000	3.10	185000	0	185000	3.10	0	3.10	0	0.00	0	0.00	0	185000
(1) India Opportunities Growth Fund Ltd - Pinewood Strategy	AACCI5037I	1	185000	0	0	185000	3.10	185000	0	185000	3.10	0	3.10	0	0.00	0	0.00	0	185000
(f) Financial Institutions/Banks		42	6464	0	0	6464	0.11	6464	0	6464	0.11	0	0.11	0	0.00	0	0.00	0	447
(11) Others																			
(g) Insurance Companies		1	19	0	0	19	0.00	19	0	19	0.00	0	0.00	0	0.00	0	0.00	0	19
Provident /Pension Funds																			
(i) Any Other (specify)																			
(i) FII		2	1489	0	0	1489	0.02	1489	0	1489	0.02	0	0.02	0	0.00	0	0.00	0	0
Sub-Total (B)(1)		56	294289	0	0	294289	4.93	294289	0	294289	4.93	0	4.93	0	0.00	0	0.00	0	285806
2 Central/State Government/																			
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0
3 Non-Institutions																			
(i) Individual shareholders holding nominal share capital upto Rs 2 lakhs		23411	397157	0	0	397157	6.66	397157	0	397157	6.66	0	6.66	0	0.00	0	0.00	0	295978
(ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakhs		1	22000	0	0	22000	0.37	22000	0	22000	0.37	0	0.37	0	0.00	0	0.00	0	22000
(b) NBFCs registered with RBI																			
(c) Employee Trusts																			
(d) Overseas Depositories /																			
(e) Any other																			
(i) BODIES CORPORATE		223	735432	0	0	735432	12.33	735432	0	735432	12.33	0	12.33	0	0.00	0	0.00	0	733329
(a) BELLONA HOSPITALITY SERVICES LTD	AAACB3262C	1	524534	0	0	524534	8.79	524534	0	524534	8.79	0	8.79	0	0.00	0	0.00	0	524534
(b) Phoenix Mills Limited	AAACP3325J	1	60192	0	0	60192	1.01	60192	0	60192	1.01	0	1.01	0	0.00	0	0.00	0	60192
(ii) NRI		137	3750	0	0	3750	0.06	3750	0	3750	0.06	0	0.06	0	0.00	0	0.00	0	2668
(iii) Trust		9	103	0	0	103	0.00	103	0	103	0.00	0	0.00	0	0.00	0	0.00	0	94
(1v) Clearing Member		6	59	0	0	59	0.00	59	0	59	0.00	0	0.00	0	0.00	0	0.00	0	59
(V) GKW Ltd.Unclaimed Suspense A/c		1	38835	0	0	38835	0.65	38835	0	38835	0.65	0	0.65	0	0.00	0	0.00	0	38835
Sub-Total (B)(3)		23788	1197336	0	0	1197336	20.07	1197336	0	1197336	20.07	0	20.07	0	0.00	0	0.00	0	1092963
Total Public Shareholding																			
(B) = (B)(1)+(B)(2)+(B)(3)		23844	1491625	0	0	1491625	25.00	1491625	0	1491625	25.00	0	25.00	0	0.00	0	0.00	0	1378769
GRAND TOTAL (A+B)		23846	5966500	0	0	5966500	100.00	5966500	0	5966500	100.00	0	100.00	0	0.00	0	0.00	0	5853644

Details of the shareholders acting as persons in Concert including their Shareholding (No and %) :