

## **GKW LIMITED**

### Format of Holding of Specified Securities

- 1 Name of the Listed entity : GKW LIMITED
- 2 Scrip Code /Name of Scri/ Class of Security NSE : GKWLIMITED
- 3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter end 31.12.2020
- 4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

#### **Particulars**

- |   |            |
|---|------------|
| 1 Whether the Listed Entity has issued any partly paid up shares ?                        | <b>NO</b>  |
| 2 Whether the Listed Entity has issued any Convertible Securities ?                       | <b>NO</b>  |
| 3 Whether the Listed Entity has any shares against which Depository receipts are issued ? | <b>NO</b>  |
| 4 Whether the Listed Entity has any shares in locked-in ?                                 | <b>NO</b>  |
| 5 Whether any shares held by Promoters are pledged or otherwise encumbered ?              | <b>NO</b>  |
| 6 Whether the Listed entity has issued any differential Voting rights?                    | <b>NO</b>  |
| 7 Whether the Listed Entity has issued any Convertible Warrants ?                         | <b>NO</b>  |
| 8 Whether the Listed Entity has any significant beneficial owner ?                        | <b>YES</b> |

**TABLE I : Summary Statement of holding of Specified Securities**

Category	Category of Shareholder	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying depository Receipts	Total No of shares held	Shareholding as a % of total no of shares (as a % of A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares	Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)	(xiv)		
								No of voting rights									
								Class X	Class Y	Total	Total as a % of A+B+C		No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)	
A	Promoter & Promoter Group	2	4474875	0	0	4474875	75.00	4474875	0	4474875	75.00	0	75.00	0	0.00	0	0.00
B	Public	23956	1491625	0	0	1491625	25.00	1491625	0	1491625	25.00	0	25.00	0	0.00	0	0.00
C	Non Promoter -Non Public	0	0	0	0	0	0.00		0	0	0.00	0	0.00	0	0.00	0	0.00
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
	<b>Total</b>	<b>23958</b>	<b>5966500</b>	<b>0</b>	<b>0</b>	<b>5966500</b>	<b>100.00</b>	<b>5966500</b>	<b>0</b>	<b>5966500</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009</b>																



**TABLE III : Statement showing Shareholding Pattern of Public shareholder**

Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	Number of Locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
													(XII)		(XIII)			
													No	As a % of total shares held (b)	No	As a % of total shares held (b)		
						VII = (IV+V+VI)	(VIII)	(IX)			(X)	(XI)	(a)	(b)	(a)	(b)	(XIV)	
								No of voting rights		Total as a % of total voting rights								
								ClassX	Class		Total							
<b>1 Institutions</b>																		
(a) <b>Mutual Funds</b>																		
i) <b>SBI Long Term Advantage Fund -Series IV</b>	AABTS6407Q	10	101317	0	0	101317	1.70	101317	0	101317	1.70	0	1.70	0	0.00	0	0.00	100340
i) <b>SBI Long Term Advantage Fund -Series IV</b>	AABTS6407Q	1	100000			100000	1.68	100000		100000	1.68		1.68		0.00		0.00	100000
(b) <b>Venture Capital Funds</b>																		
(c) <b>Alternate Investment funds</b>																		
(d) <b>Foreign Venture Capital Investors</b>																		
(e) <b>Foreign Portfolio Investors</b>																		
(1) <b>India Opportunities Growth Fund Ltd - Pinewood Strategy</b>	AACCI5037I	1	185000	0	0	185000	3.10	185000	0	185000	3.10	0	3.10	0	0.00	0	0.00	185000
(f) <b>Financial Institutions/Banks</b>																		
(11) <b>Others</b>																		
(g) <b>Insurance Companies Provident / Pension Funds</b>																		
(i) <b>Any Other (specify)</b>																		
(i) <b>FI</b>		2	1489	0	0	1489	0.02	1489	0	1489	0.02	0	0.02	0	0.00	0	0.00	0
<b>Sub-Total (B)(1)</b>		<b>55</b>	<b>294270</b>	<b>0</b>	<b>0</b>	<b>294270</b>	<b>4.93</b>	<b>294270</b>	<b>0</b>	<b>294270</b>	<b>4.93</b>	<b>0</b>	<b>4.93</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>285787</b>
<b>2 Central/State Government/</b>																		
<b>Sub-Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>3 Non-Institutions</b>																		
(i) <b>Individual shareholders holding nominal share capital upto Rs 2 lakhs</b>																		
(a) (i) <b>Individual shareholders holding nominal share capital upto Rs 2 lakhs</b>		23512	396610	0	0	396610	6.65	396610	0	396610	6.65	0	6.65	0	0.00	0	0.00	295663
(ii) <b>Individual shareholders holding nominal share capital in excess of Rs 2 lakhs</b>		1	25000	0	0	25000	0.42	25000	0	25000	0.42	0	0.42	0	0.00	0	0.00	25000
(b) <b>NBFCs registered with RBI</b>																		
(c) <b>Employee Trusts</b>																		
(d) <b>Overseas Depositories /</b>																		
(e) <b>Any other</b>																		
(i) <b>BODIES CORPORATE</b>		218	730606	0	0	730606	12.25	730606	0	730606	12.25	0	12.25	0	0.00	0	0.00	728861
(a) <b>BELLONA HOSPITALITY SERVICES LTD</b>	AAACB3262C	1	524534	0	0	524534	8.79	524534	0	524534	8.79	0	8.79	0	0.00	0	0.00	524534
(b) <b>Phoenix Mills Limited</b>	AAACP3325J	1	60192	0	0	60192	1.01	60192	0	60192	1.01	0	1.01	0	0.00	0	0.00	60192
(ii) <b>NRI</b>		137	5748	0	0	5748	0.10	5748	0	5748	0.10	0	0.10	0	0.00	0	0.00	4675
(iii) <b>Trust</b>		9	103	0	0	103	0.00	103	0	103	0.00	0	0.00	0	0.00	0	0.00	94
(1v) <b>Clearing Member</b>		23	453	0	0	453	0.01	453	0	453	0.01	0	0.01	0	0.00	0	0.00	453
(V) <b>GKW Ltd.Unclaimed Suspense A/c</b>		1	38835	0	0	38835	0.65	38835	0	38835	0.65	0	0.65	0	0.00	0	0.00	38835
<b>Sub-Total (B)(3)</b>		<b>23901</b>	<b>1197355</b>	<b>0</b>	<b>0</b>	<b>1197355</b>	<b>20.07</b>	<b>1197355</b>	<b>0</b>	<b>1197355</b>	<b>20.07</b>	<b>0</b>	<b>20.07</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1093581</b>
<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>23956</b>	<b>1491625</b>	<b>0</b>	<b>0</b>	<b>1491625</b>	<b>25.00</b>	<b>1491625</b>	<b>0</b>	<b>1491625</b>	<b>25.00</b>	<b>0</b>	<b>25.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1379368</b>
<b>GRAND TOTAL (A+B)</b>		<b>23958</b>	<b>5966500</b>	<b>0</b>	<b>0</b>	<b>5966500</b>	<b>100.00</b>	<b>5966500</b>	<b>0</b>	<b>5966500</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>5854243</b>
<b>Details of the shareholders acting as persons in Concert including their Shareholding (No and % ) :</b>																		

