

GKW LIMITED

Format of Holding of Specified Securities

- 1 Name of the Listed entity : GKW LIMITED
- 2 Scrip Code /Name of Scri/ Class of Security NSE : GKWLIMITED
- 3 Share Holding Pattern filed under Reg 31(1)(b) for Quarter end 30.09.2021
- 4 **DECLARATION** : The Listed Entity is required to submit the following declaration to the extent of Submission of information :

Particulars

- | | |
|---|-----------|
| 1 Whether the Listed Entity has issued any partly paid up shares ? | NO |
| 2 Whether the Listed Entity has issued any Convertible Securities ? | NO |
| 3 Whether the Listed Entity has any shares against which Depository receipts are issued ? | NO |
| 4 Whether the Listed Entity has any shares in locked-in ? | NO |
| 5 Whether any shares held by Promoters are pledged or otherwise encumbered ? | NO |
| 6 Whether the Listed entity has issued any differential Voting rights? | NO |
| 7 Whether the Listed Entity has issued any Convertible Warrants ? | NO |
| 8 Whether the Listed Entity has any significant beneficial owner ? | NO |

TABLE I : Summary Statement of holding of Specified Securities

Category	Category of Shareholder	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying depository Receipts	Total No of shares held	Shareholding as a % of total no of shares (as a % of A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital A+B+C2)	Number of Locked in shares	Number of shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =(iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)=(vii)+(x)	(xii)	(xiii)	(xiv)			
								No of voting rights										
								Class X	Class Y	Total	Total as a % of A+B+C		No (a)	As a % of Total shares held (b)	No (a)	As a % of Total shares held (b)		
A	Promoter & Promoter Group	2	3580875	0	0	3580875	60.02	3580875	0	3580875	60.02	0	60.02	0	0.00	0	0.00	3580875
B	Public	23575	2385625	0	0	2385625	39.98	2385625	0	2385625	39.98	0	39.98	0	0.00	0	0.00	2273975
C	Non Promoter -Non Public	0	0	0	0	0	0.00		0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	23577	5966500	0	0	5966500	100.00	5966500	0	5966500	100.00	0	100.00	0	0.00	0	0.00	5854850
	Note : Promoter and Promoter Group shall have the same meaning as defined under SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009																	

TABLE III : Statement showing Shareholding Pattern of Public shareholder

Category and Name of the Shareholders	PAN	No of shareholders	No of fully paid up equity shares held	No of partly paid-up shares held	No of shares underlying Depository Receipts	Total No of shares held	Shareholding % calculated as per SCRR 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of shares underlying outstanding convertible securities (including warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital)	Number of Locked in shares		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of voting rights					Total as a % of total voting rights	No (a)	As a % of Total shares held (b)	No (a)		As a % of Total shares held (b)
								ClassX	Class	Total								
1 Institutions																		
(a) Mutual Funds																		
i) SBI Long Term Advantage Fund -Series IV	AABTS6407Q	1	101317	0	0	101317	1.70	101317	0	101317	1.70	0	1.70	0	0.00	0	0.00	100340
(b) Venture Capital Funds																		
(c) Alternate Investment funds																		
(d) Foreign Venture Capital Investors																		
(e) Foreign Portfolio Investors																		
(1) India Opportunities Growth Fund Ltd - Pinewood Strategy	AACCI5037I	1	185000	0	0	185000	3.10	185000	0	185000	3.10	0	3.10	0	0.00	0	0.00	185000
(f) Financial Institutions/Banks																		
(11) Others																		
(g) Insurance Companies Provident / Pension Funds																		
(i) Any Other (specify)																		
(i) FI		2	1489	0	0	1489	0.02	1489	0	1489	0.02	0	0.02	0	0.00	0	0.00	0
Sub-Total (B)(1)		53	292672	0	0	292672	4.91	292672	0	292672	4.91	0	4.91	0	0.00	0	0.00	285787
2 Central/State Government/																		
Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
3 Non-Institutions																		
(i) Individual shareholders holding nominal share capital upto Rs 2 lakhs																		
(a)		23163	389667	0	0	389667	6.53	389667	0	389667	6.53	0	6.53	0	0.00	0	0.00	287260
(ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakhs																		
(a)		1	25500	0	0	25500	0.43	25500	0	25500	0.43	0	0.43	0	0.00	0	0.00	25500
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
(d) Overseas Depositories /																		
(e) Any other																		
(i) BODIES CORPORATE																		
(a) BELLONA HOSPITALITY SERVICES LTD	AAACB3262C	1	524534	0	0	524534	8.79	524534	0	524534	8.79	0	8.79	0	0.00	0	0.00	524534
(b) Phoenix Mills Limited	AAACP3325J	1	60192	0	0	60192	1.01	60192	0	60192	1.01	0	1.01	0	0.00	0	0.00	60192
(ii) NRI																		
(iii) Trust																		
(iv) Clearing Member																		
(a) DALMIA SECURITIES PRIVATE LIMITED	AABCD1813G	1	894000	0	0	894000	14.98	894000	0	894000	14.98	0	14.98	0	0.00	0	0.00	894000
(v) GKW Ltd.Unclaimed Suspense A/c		1	38742	0	0	38742	0.65	38742	0	38742	0.65	0	0.65	0	0.00	0	0.00	38742
Sub-Total (B)(3)		23522	2092953	0	0	2092953	35.08	2092953	0	2092953	35.08	0	35.08	0	0.00	0	0.00	1988188
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		23575	2385625	0	0	2385625	39.98	2385625	0	2385625	39.98	0	39.98	0	0.00	0	0.00	2273975
GRAND TOTAL (A+B)		23577	5966500	0	0	5966500	100.00	5966500	0	5966500	100.00	0	100.00	0	0.00	0	0.00	5854850

Details of the shareholders acting as persons in Concert including their Shareholding (No and %) :

Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr.No.	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting Company, whether direct or indirect * : (III)	
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of :	
							Shares	%
							Voting rights	%
							Rights on distributable dividend or any other distribution	%
							Exercise of control	
							Exercise of significant influence	

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

Date of creation/ acquisition of significant beneficial interest # (IV)

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